

# **REQUEST FOR PROPOSAL FOR**

PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR THE NATIONAL HOUSING ENTERPRISE (NHE)

# **PROCUREMENT REFERENCE NO:**

# CS/RP/NHE-02/23/24

# **ISSUED ON:**

01 DECEMBER 2023

# **CLOSING DATE:**

31 JANUARY 2024 @ 10H00 AM

**COST:** FREE

| Name of<br>Consultant: |  |
|------------------------|--|
|                        |  |
|                        |  |
|                        |  |
|                        |  |
|                        |  |

National Housing Enterprise 7 Gen. Murtala Muhammed Ave, Eros, Windhoek, NAMIBIA Tel: 061 2927111, Fax: 061 222 941, procurement@nhe.com.na www.nhe.com.na

PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR NHE- CS/RP/NHE-02/23/24



#### **PROCUREMENT MANAGEMENT UNIT**

| <b>7</b> | General | Murtala | Muhammed | i A |
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# LETTER OF INVITATION

Dear Prospective Consultant

#### SUBJECT: PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR THE NATIONAL HOUSING ENTERPRISE (NHE)

#### CS/RP/NHE-02/23/24

- **1.** You are hereby invited to submit technical and financial proposals for the provision of professional consultancy services for the development and implementation of the change management and organizational culture transformation programme for the National Housing Enterprise (NHE), which could form the basis for future negotiations and ultimately, a contract between you and the NHE.
- **2.** The purpose of this consultancy service is for the organisation:
  - a) Develop a change management and culture change interventions which support the recommendations of the ISBP.
  - b) Provide a comprehensive report on the current culture assessment.
  - c) Identify the gaps between the current culture climate analysis and desired culture climate and compile a gap analysis report.
  - d) Develop a change management and culture change interventions based on the outcome of the culture climate assessment and the organizational strategic priorities.
  - e) Develop a capacity development plan to support the implementation of change management strategy.
  - f) Identify departmental change agents in consultation with the NHE management and transfer skills to the departmental change agents and the Human Capital team
  - g) Develop a comprehensive transformational programme and implementation plan that supports the change and culture management initiatives across the National Housing Enterprise.
  - h) Align all NHE employees to the Corporate strategic objectives by ensuring employees are more customer centric, result orientated, innovative, collaborative, imbibe planning, open-mindedness, adaptability, and periodic

performance review culture as well as focus on strategic objectives/initiatives and commitment to continuous learning.

- **3.** The following documents are enclosed to enable you to submit your proposal:
  - a) the Terms of Reference (TOR) [Annexure 1].
  - b) supplementary information for consultants, including a suggested format of curriculum vitae [Annexure 2]; and
  - c) Instruction for preparation of bid [Annexure 3]
  - d) Contract [Annexure 4]
- **4.** Any request for clarification should be forwarded in writing to NHE, email <u>procurement@nhe.com.na.</u> Request for clarifications should be received 14 days prior to the deadline set for submission of proposals.
- 5. The Government of the Republic of Namibia requires that consultants/suppliers/contractors participating in the procurement in Namibia observe the highest standard of ethics during the procurement process and execution of contracts. Consultants are advised to consult the website of the Procurement Policy Office: www.mof.gov.na/procurement-policy-unit to acquaint themselves with the legislations related to public procurement in the Republic of Namibia.

#### 6. Eligibility

- a) A consultant that is under a declaration of ineligibility by the Government of Namibia in accordance with applicable laws at the date of the deadline for bid submission and thereafter shall be disqualified.
- b) Proposals from consultants appearing on the ineligibility lists of African Development Bank, Asian Development Bank, European Bank for Reconstruction and Development, Inter-American Development Bank Group and World Bank Group shall be rejected.
- c) Consultants should submit a statement on past and present declaration of ineligibility, if any, by any local/international agency or any termination of contract for unsuccessful completion of assignment, giving adequate details to enable a fair assessment.

#### 7. Technical Requirements

This task will be completed by a consultant having submitted a proposal which include the following information.

- a) Adequacy and quality of the proposed methodology, and work plan in responding to the Terms of Reference (TORs).
- b) The Consultant must describe how they will deliver the demands of the RFP by:
  - (i) providing a detailed description of the essential performance characteristics,
  - (ii) reporting conditions and quality assurance mechanisms that will be put in place,

- (iii) while demonstrating that the proposed methodology will be appropriate to the key deliverable of NHE.
- c) Key consultants 'qualifications and competence for the service
  - (i) Names and qualifications of the key personnel that will perform the services indicating team leader (lead consultant) and support team etc.
  - (ii) CVs demonstrating qualifications and experiences of all listed above in (i) must be submitted (Form NHE F-4); and
  - (iii) Written confirmation from each person that they are available for the entire duration of the contract.
- d) Track Record
  - An outline of recent experience on comparable assignments/projects executed during the last five years, which proof successful experience in developing and implementing the change management and organizational culture transformation programme (Form NHE F-5) – (attached three (3) contactable references letter).
  - (ii) Expertise of the Consultant or Firm Detailed Company Profile describing the nature of business, field of expertise, licenses, certifications, and accreditations.
  - (iv) Any comments or suggestions on the Consultant on the Terms of Reference (TOR).

#### 8. Submission of Proposals

The proposals from the consultants shall be submitted in two (2) separate envelopes and each accompanied by a copy, namely Technical and Financial proposals, and should follow the form given in Annexure 2 - "Supplementary Information for Consultants".

The proposals must be deposited in the Bod Box placed at the National Housing Enterprise, 7 General Murtala Muhammed Avenue Eros, Windhoek on or before Wednesday, 31 January 2024 at 10h00 am.

Proposals should **not** be forwarded by electronic mail. Late bids will be rejected.

#### 9. Evaluation of proposals

The evaluation of proposals will be carried out as per the criteria indicated in Annexure 3 of the bidding document.

The procurement contract will be awarded to the lowest evaluated substantially responsive Consultant.

#### **10. Deciding Award of Contract**

Qualification and experience of the consultants shall be considered as the paramount requirement.

Proposals from Consultants should score at least 80% for the Technical Proposals to be retained for further consideration.

Negotiations will start with the Consultant scoring the highest marks and if negotiation is not successful, negotiation will move to the next best-ranked Consultant and so on until an agreement is reached.

Should you be contacted for negotiations, you must be prepared to furnish the detailed cost break-down and other clarifications to the proposals submitted by you, as may be required to adjudge the reasonableness of your pricing proposals.

#### **11.** Rights of a Public Entity

- a) Please note that the *National Housing Enterprise* is not bound to select any of the consultants' submitting proposals.
- b) Please note that the cost of preparing a proposal and of negotiating a contract including visits to Namibia, if any, is not reimbursable as a direct cost of the assignment.

#### **12.** Duration of Assignment

The expected duration of the service is over a maximum of hundred and five (105) days and include some level of internal and/or external stakeholder consultations whether in person, telephonic or via e-mail.

#### **13. Validity of Proposal**

You are requested to hold your proposal valid for one hundred and eighty (180) days from the deadline for submission of proposals during which period you will maintain without change, your proposed price. NHE will make its best efforts to finalize the agreement within this period.

#### **14. Mandatory Requirements**

For the bid to meet the mandatory requirements, the Consultant must submit the following documents as outlined in section 50 of the Act:

- a) an original or certified copy of a valid certificate of good standing with the Receiver of Revenue.
- b) a valid certificate of good standing with the Social Security Commission or, in the case where a company has no employees, confirmation letter from the Social Security Commission.
- c) as required by the Affirmative Action (Employment) Act, 1998 (Act No. 29 of 1998) -
  - (i) a certified copy of a valid affirmative action compliance certificate issued under section 41 of that Act.
  - (ii) a certified copy of an exemption issued under section 42 of that Act, or
  - (iii) a certified copy of proof from the Employment Equity Commissioner that the Consultant or supplier is not a relevant employer as defined in that Act.
- d) a written undertaking as contemplated in section 138(2) of the Labour Act, 2007(Act No. 11 of 2007), and
- e) a certified copy of a valid
  - (i) certificate of business registration for an entity incorporated or registered under the company or close corporation laws of Namibia.
  - (ii) certificate of registration of a co-operative registered under the laws

regulating co-operatives in Namibia.

- document serving as evidence of registration as a trust and the trust deed for a trust registered under the laws regulating trusts in Namibia, or
- (iv) partnership agreement in the case of a partnership, a valid joint venture agreement in the case of a joint venture or a valid agreement in case of other similar arrangements,

but a Consultant or supplier who is a sole proprietor only needs to comply with the provisions of paragraph (a) to (d) as well as (f) to (i).

- f) Complete and submit a signed Bid Submission From.
- g) Complete and submit a Bid Securing Declaration Form.
- h) Complete and submit a completed Self Declaration Form.
- i) Complete and submit a Declaration in Respect of Exclusive Preference (where applicable), (Kindly note that failure to complete and/or submit this document will not be grounds for disqualification)

NB!! Certification of documents should be done by a Commissioner of Oath appointed in terms of the Justices of the Peace and Commissioners of Oaths Act.1963 (Act No. 16 of 1963).
 Only documents with original certification stamps will be accepted.
 Scanned copies of certified documents (in colour or black and white) are not accepted.
 All copies of documents should be in black and white.
 Certification of documents should not be older than 6 months.

#### **15.** Commencement date of Assignment

Assuming that the contract can be satisfactorily concluded within 60 days from the closing of the bid, you will be expected to take up/commence with the assignment as need arise and as instructed by NHE.

#### 16. Tax Liability

Please note that the remuneration which you receive from this contract will be subject to normal tax liability in Namibia.

#### 17. Insurance

The Consultant shall meet the cost of any insurance and/or medical examination or treatment required by him/her while performing the services.

#### **18.** Conformation of Invitation to submit proposal

We will appreciate if you would inform us by email at procurement@nhe.com.na

- a) Your acknowledgement of the receipt of this Letter of Invitation within two (2) days; and
- b) Further indicate whether you will be submitting the proposal.

NHE would like to thank you for considering this invitation for submission of proposals. Yours faithfully,

nmang

Ms. Noreen Siyanga Secretary: Procurement Committee

# **Enclosures:**

**Annexure 1: Terms of Reference.** 

# **Annexure 2: Supplementary Information to Consultant.**

**Annexure 3: Instruction for Preparation of Bid** 

Annexure 4: Contract under which service will be performed.

#### Annexure – 1

#### TERMS OF REFERENCE FOR PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR NHE

#### Part 1: Background

- 1.1. The National Housing Enterprise ("NHE") is a state-owned enterprise established by the NHE Act of 1993 (Act No. 5 of 1993) and reports to the Ministry of Urban and Rural Development. NHE core business is providing housing to low- and middle-income inhabitants of Namibia and financing of housing for such inhabitants. The main objective of the NHE is to build and finance houses for inhabitants of Namibia.
- 1.2. To operationalize its mandate, the NHE must be guided by an Intergraded Strategic Business Plan (ISBP) which is implemented through annual work plans, performance contract and staff performance appraisal system.
- 1.3. The NHE is in the process to review and develop the ISBP for the financial year 2022/23 to 2027/28 in tantum with the ISBP development, a change management strategy to effect the needed change in the organisation must be developed and implemented.
- 1.4. Human behaviour aspect of change to ensure sustainability of the ISBP transformation efforts must be addressed through developing, adopting and implementing an organizational culture change initiative and transformation and change management programs. At this point in time, employee's morale and confidence is down due to some historical issues and they are dispirited. It is perceived that the culture is currently of a noxious nature and negatively affects employee productivity, engagement, and drive to successfully implement the ISBP.

#### Objectives

The overall objective of the assignment is to seek the services for a reputable, competent, and technical qualified Consultant with an extensive expertise in "Organisational Culture Transformation/Change Management" with a proven track record of successful implementation to develop and implement a change management and organizational culture transformation programme for the NHE.

The purpose of this consultancy service is for the organization to:

- Develop a change management and culture change interventions which support the recommendations of the ISBP.
- Provide a comprehensive report on the current culture assessment.
- Identify the gaps between the current culture climate analysis and desired culture climate and compile a gap analysis report.
- Develop a change management and culture change interventions based on the outcome of the culture climate assessment and the organizational strategic priorities.
- Develop a capacity development plan to support the implementation of change management strategy.

- Identify Departmental change agents in consultation with the NHE management and transfer skills to the Departmental change agents and the Human Capital team
- Develop a comprehensive transformational programme and implementation plan that supports the change and culture management initiatives across the National Housing Enterprise.
- Align all NHE employees to the Corporate strategic objectives by ensuring employees are more customer centric, result orientated, innovative, collaborative, imbibe planning, open-mindedness, adaptability, and periodic performance review culture as well as focus on strategic objectives/initiatives and commitment to continuous learning.

#### Part 2: The Services

A summary description of the envisaged scope is enumerated as under. However, the NHE reserves its right to change the scope of the RFP considering the size and variety of the requirements and the changing business conditions.

The consultancy entails developing and implementing a culture change and change management programme for the National Housing Enterprise. The purpose is to ensure that standardized methods and procedures are used for efficient and prompt handling of all changes to minimize negative change related impact upon quality of service, day-to-day operations and performance of the organization.

The Consultant will work closely with the Executive: Strategy & Human Resources, and other officials as may be considered necessary during the execution process.

#### Scope of Work

The consultant is therefore expected to:

- 1. Undertake Internal Culture Audit using various instruments including but not limited to Interviews, Culture surveys, focus groups, questionnaires among others.
- 2. Identify and engage key stakeholders of NHE and provide more detailed planning of the engagements with key stakeholders.
- 3. Conduct an assessment to determine the current culture climate and develop a comprehensive report, transformational plan detailing change management and culture change programs.
- 4. Present the comprehensive situational and gap analysis report, change management and culture change interventions and transformational plan to NHE management and Executive Committee
- 5. Develop a leadership team to drive the change process. Identify and engage culture change and change agents and transfer skills to these agents.
- 6. Align the desired culture change's (TO BE) with the NHE objectives and strategies.
- 7. Develop a culture change program that will shift the current culture to a performance driven and highly engaging culture and that is aligned to the strategic mobilization within the organization.
- 8. Construct the appropriate framework/guidelines outlining the key features and initiatives which reinforces change and culture management, for example

communication strategies/plans, stakeholder engagement, inter disciplinary teams, readiness assessment, dealing with resistance to change.

- 9. Outline and deliver the requisite and appropriate sensitization and training interventions to improve the capacity of the NHE staff members to positively respond to change initiatives.
- 10. Develop a template/toolkit and guidelines to be used by the organization to develop detailed transition plans and the monitoring and evaluation of such plans.
- 11. Implement the culture change and change management program within a reasonable time directly with the entire organization.
- 12. Monitor and evaluate the implementation of the transformational plan, change and culture management program.

#### **Engagement Criteria**

#### Key Competencies and Qualifications of the Successful Consultant

The Consultant must provide the following information and relevant documentary proof, that will form part of the technical evaluation:

#### The Consultant

The consultant should have the following minimum qualifications, experience, and expertise:

- At least a post graduate qualification with specialization in Human Resource Management or Strategic Management or Change Management and any other related field.
- A company profile and documentary evidence in successfully undertaking projects of a similar nature (evidence should relate to similar successfully completed projects, with a reference of the success of the project from the client).
- At least ten (10) years of professional experience in culture change and Change Management implementation in complex organisations.
- Specific professional training in change management will be an added advantage.
- The consultancy is required to demonstrate the composition of the team leader and the role to be played by any other supportive team members.
- Three reference letters (not older than three (3) years) from companies where culture change and change management programs were implemented.
- Curriculum Vitae and qualification of the persons attached to the project.
- Proven experience in organizational change management and business process reviews across the private and/or public sectors.
- Experience in working with, or supporting the development of new and innovative approaches, particularly in all areas relating to change and culture change management; and

• Ability to translate strategic thinking and innovative ideas into practical operational recommendations.

#### **Behavioural Competencies:**

- Ability to be flexible and respond to changes as part of the review and feedback process.
- Strong interpersonal skills, able to communicate and work with diverse people.
- Participate effectively in team-based, information-sharing environment, collaborating and cooperating with others.
- Focus on impact and results for the client; and
- Solid analytical, presentation, interpersonal, communication, and negotiation skills.

#### Part 3: Facilities to be provided by the Public Entity

NHE will provide access to all information required as well as controlled access to the facilities should the need arise.

#### Part 4: Contract duration and payment

#### **Duration of Initial Contract**

The consultancy services must be prepared to complete the assignment up to the stage of the submission of the transformation plan within 40 working days of commencement.

Thereafter a further implementation period will be agreed subject to the agreed time frame in the deliverables.

#### Payment

Payment will be made within 30 days of receipt of invoices by the NHE.

Invoices should be sent as soon as the respective deliverables are agreed on with the client.

| NO. | DELIVERABLE  | CONTENTS  | TIME FRAME                                    | %<br>PAYMENT |
|-----|--|---|---|--------------|
| 1   | Approval of the<br>inception report, work<br>plan and consultation<br>strategy | <ul> <li>A philosophy which is to<br/>guide all aspects of<br/>change management in<br/>terms of how employees<br/>are treated.</li> </ul>  | To be determined<br>and agreed in<br>advance. | 10 %         |
|     |  | <ul> <li>Policies, regulations, and<br/>procedures relating to the<br/>handling of staff matters<br/>arising out of<br/>organizational change in<br/>the organisation.</li> </ul> |   |              |

| 2 | Final report on the<br>current culture<br>climate assessment<br>and Gap Analysis<br>Report   | • Report on literature review<br>of documents and<br>information related to<br>change management<br>initiatives in NHE, change<br>management practices and<br>assessment of current<br>culture climate situational<br>and Gap Analysis. | This report should<br>be submitted<br>within 15 working<br>days of<br>submission of the<br>Inception Report. | 15 % |
|---|--|---|--|------|
| 3 | Approval of the<br>comprehensive<br>transformational<br>programme and<br>implementation plan<br>that supports the<br>change and culture<br>management<br>initiatives across the<br>NHE | • This final version is to be<br>submitted within 20 days<br>after the consultant is in<br>receipt of the draft version<br>with comments and<br>suggested amendments.   | To be submitted<br>within 20 working<br>days of<br>submission of<br>report on<br>literature review.          | 30 % |
| 4 | Acceptance of the<br>Monitoring and<br>Evaluation Report   | • Implementation of the<br>Transformational Plan, and<br>the satisfactory evaluation<br>and the monitoring report.  | To be determined<br>and agreed in<br>advance.  | 20 % |
| 5 | Impact analysis of the<br>implementation of the<br>culture change<br>programs  | • Implementation of the<br>Culture change programme,<br>and the satisfactory<br>evaluation and the<br>monitoring report.  | To be determined<br>and agreed in<br>advance   | 25%  |

#### Part 5: Deliverables

The consultancy should result in the following outcomes:

| NO. | DELIVERABLE   | DESCRIPTION  |
|-----|---|--|
| 1   | Inception Report, Work Plan and Consultation Strategy.  | <ul> <li>Identify key issues to be addressed within the<br/>scope of the assignment and any issues related<br/>to the availability of information and required<br/>resources.</li> </ul> |
|     |   | <ul> <li>Presentation of the strategy for change<br/>management and current culture climate<br/>assessment that applies to the NHE operational<br/>environment.</li> </ul>               |
| 2   | Report on literature review of<br>documents and information<br>related to previous change<br>management initiatives in<br>NHE, change management<br>practices and assessment, | <ul> <li>This report should be submitted within 15<br/>working days of submission of the Inception<br/>Report.</li> </ul>  |

|   | current culture climate situational and Gap analysis.   |  |
|---|---|--|
| 3 | Draft and obtain approval of<br>the three-year comprehensive<br>transformational programme<br>and implementation plan that<br>supports the change and<br>culture management<br>initiatives across the National<br>Housing Enterprise. | To be submitted within 20 working days of submission of report on literature review.   |
| 4 | Implementation of the first<br>phase of the Transformational<br>Plan, and the evaluation and<br>the monitoring report.  | The Monitoring and Evaluation report is to be determined and agreed in advance after the submission and approval of the transformational plan. |
| 5 | Implementation of the culture<br>change programmes and<br>impact analysis of the<br>implementation of the culture<br>change programmes  | Implementation of the Culture change programme,<br>and the satisfactory evaluation and the monitoring<br>report after a pre-agreed period.     |

The deliverables under this project are described in more detail in the table below. All documents submitted must conform to the following minimum standards:

- Use language appropriate for a non-technical audience.
- Be comprehensive, properly formatted and well presented.
- Provide justifications for all assumptions; and
- Show evidence of consultation.

#### **Contracting Parties**

The contract will be signed between the National Housing Enterprise (NHE) and the appointed consultant, in accordance with standard agreement of either party.

#### **Date of Commencement**

The appointed consultant shall commence with the assignment upon the signing of the Contract.

#### **Duration of the assignment**

Total Duration of the contract maximum of one hundred and five (105) days.

# Annexure – 2

#### SUPPLEMENTARY INFORMATION FOR CONSULTANTS

#### Proposals

1. Proposals should include the following information:

#### **Technical Proposals**

- a) Adequacy and quality of the proposed methodology, and work plan in responding to the Terms of Reference (TORs)
  - i. the Consultant must describe how it will deliver the demands of the RFP,
  - ii. providing a detailed description of the essential performance characteristics,
  - iii. reporting conditions and quality assurance mechanisms that will be put in place,
  - iv. while demonstrating that the proposed methodology will be appropriate to the key deliverable of NHE.
- b) Key consultants 'qualifications and competence for the service
  - i. Names and qualifications of the key personnel that will perform the services indicating who is Team Leader, who are supporting, etc.,
  - ii. CVs demonstrating qualifications must be submitted (Form NHE F-4),
  - iii. Written confirmation from each person that they are available for the entire duration of the contract.
- c) Track Record
  - i. An outline of recent experience on comparable assignments executed during the last five years which proof successful experience in developing and implement the change management and organizational culture transformation programme (Form NHE F-5) (attached three (3) contactable references letter).
- d) Expertise of the Consultant or Firm Detailed Company Profile describing the nature of business, field of expertise, licenses, certifications, and accreditations. Attaching all mandatory documents as required.

#### **Financial Proposals**

a) A financial proposal that includes a detailed cost breakdown as per key deliverables, including but not limited to estimated total cost and provisions for contingencies.

#### Submission of Proposals

- a) The proposals shall be submitted in one (1) original and one (1) copy).
- b) Technical and Financial proposals must be submitted in separate envelopes.

#### **Contract Negotiations**

1. The negotiations aim to reach an agreement on all points with the Consultant and initial a draft contract by the conclusion of negotiations. Negotiations commence with a discussion of a consultant's proposal, the proposed work plan, and any suggestions you may have made to improve the Terms of Reference. Agreement

will then be reached on the final Terms of Reference and the bar chart, which will indicate periods in [weeks/months] and reporting schedule.

2. Once these matters have been agreed, financial negotiations will take place and will begin with a discussion of your proposed payment schedule.

#### Annexure – 3

#### **INSTRUCTION FOR PREPARATION OF BID**

#### TITLE: PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR NHE- CS/RP/NHE-02/23/24

#### Please use suggested instructions below on how to prepare your bid.

- 1. Please give your best proposal in response to the RFP.
- 2. Submit two separate proposals in separate envelopes, one for technical proposal and the other for financial proposal.
- 3. Complete your response as follows:
  - a) Complete the Bid Submission Form NHE.F-1.
  - b) Mandatory Requirements –

For the bid to meet the mandatory requirements, the Consultant must submit the following documents as outlined in section 50 of the Act:

- i. an original or certified copy of a valid certificate of good standing with the Receiver of Revenue.
- ii. a valid certificate of good standing with the Social Security Commission or, in the case where a company has no employees, confirmation letter from the Social Security Commission.
- iii. as required by the Affirmative Action (Employment) Act, 1998 (Act No. 29 of 1998) -
  - a certified copy of a valid affirmative action compliance certificate issued under section 41 of that Act.
  - a certified copy of an exemption issued under section 42 of that Act, or
  - a certified copy of proof from the Employment Equity Commissioner that the Consultant or supplier is not a relevant employer as defined in that Act.
- iv. written undertaking as contemplated in section 138(2) of the Labour Act, 2007(Act No. 11 of 2007), and
- v. a certified copy of a valid
  - certificate of business registration for an entity incorporated or registered under the company or close corporation laws of Namibia.
  - certificate of registration of a co-operative registered under the laws regulating co-operatives in Namibia.
  - document serving as evidence of registration as a trust and the

trust deed for a trust registered under the laws regulating trusts in Namibia, or

• partnership agreement in the case of a partnership, a valid joint venture agreement in the case of a joint venture or a valid agreement in case of other similar arrangements,

but a Consultant or supplier who is a sole proprietor only needs to comply with the provisions of paragraph (a) to (d) as well as (f) to (i).

vi. Complete and submit a Declaration in Respect of Exclusive Preference (where applicable), (Kindly note that failure to complete and/or submit this document will not be grounds for disqualification)

| b)         | <i>NB</i> !! Certification of documents should be done by a Commissioner of Oath appointed in terms |
|------------|---|
|            | of the Justices of the Peace and Commissioners of Oaths Act. 1963 (Act No. 16 of 1963).             |
| <i>c</i> ) | Only documents with original certification stamps will be accepted.                                 |
| d)         | Scanned copies of certified documents (in colour or black and white) are not accepted.              |
| e)         | All copies of documents should be in black and white.   |
| f)         | Certification of documents should not be older than 6 months.                                       |

- c) Complete and sign the Bid Securing Declaration **Form NHE.F-2** and include the form in the Technical Proposal envelope.
- Formulate your Technical Proposal in response to the TOR in Annexure 1 and use Form NHE.F-3 as guide. Form NHE.F-3 shall be included in the Technical Proposal envelope.
- e) Include the curriculum vitae/ resume for principal facilitators/experts who will engage in this assignment. **Use Form NHE.F-4. NHE. F-4** and include form in the technical envelope.
- f) Include the list of completed assignment of similar nature. Use **Form NHE.F-5** and include form in the technical envelope.
- g) Formulate your Financial Proposal by completing **Form NHE.F-6**. Please note that currency is Namibia Dollars. **Form NHE.F-6** shall be included in the Financial Proposal envelope.
- h) Complete and sign the self-declaration of the conditions of non-eligibility.
   Use Form NHE.F-7.
- i) Complete and sign written undertaking as contemplated in section 138(2) of the Labour Act, 2007(Act No. 11 of 2007) **NHE.F-8.**
- 4. The Proposals shall be submitted in two separate envelopes.
  - one should be marked "Technical Proposal" and
  - the other marked "Financial Proposal",
  - dully marked with Bid Number "RFP No: CS/RP/NHE-02/23/24" and
    - Titled "PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR NHE- CS/RP/NHE-02/23/24"

- Please make sure that your financial proposal (Form NHE.F-6) is properly sealed
- To be deposited into the Bid box on or before: Wednesday, 31 January 2024 at 10h00 am, Namibian time.
- All envelopes should bear details of the bidding company.

Consultants must note that if technical and financial proposals are packaged in one document, it will warrant automatic disqualification.

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#### FORM NHE F-1

#### **BID SUBMISSION FORM**

| From: To: _ |  |
|-------------|--|
|             |  |

#### PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR NHE – CS/RP/NHE-02/23/24

I/we undertake that, in competing for (and, if the award is made to me/us, in executing) the above contract, I/we will observe the highest level of ethical conduct.

Yours faithfully

| Signature: |  |
|------------|--|
| Full name: |  |
| Address:   |  |

#### FORM NHE.F-2

#### **BID SECURING DECLARATION**

(Section 45 of Act) (Regulation 37(1)(b) and 37(5))

Date: \_\_\_\_\_

Procurement Ref No.: \_\_\_\_\_

То: \_\_\_\_\_

I/We\* understand that in terms of section 45 of the Act a public entity must include in the bidding document the requirement for a declaration as an alternative form of bid security.

 $\rm I/We^*$  accept that under section 45 of the Act,  $\rm I/we^*$  may be suspended or disqualified in the event of

- (a) a modification or withdrawal of a bid after the deadline for submission of bids during the period of validity.
- (b) refusal by a Consultant to accept a correction of an error appearing on the face of a bid.
- (c) failure to sign a procurement contract in accordance with the terms and conditions set forth in the bidding document, should I/We\* be successful Consultant; or
- (d) failure to provide security for the performance of the procurement contract if required to do so by the bidding document.

 $\rm I/We^*$  understand this bid securing declaration ceases to be valid if I am/We are\* not the successful Consultant

Signed:

[insert signature of person whose name and capacity are shown] Capacity of:

[indicate legal capacity of person(s) signing the Bid Securing Declaration] Name: \_\_\_\_\_

[insert complete name of person signing the Bid Securing Declaration] Duly authorized to sign the bid for and on behalf of: [insert complete name of Consultant]

Dated on \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_

Corporate Seal (where appropriate)

# FORM NHE.F-3

### **TECHNICAL PROPOSAL RESPONSE**

| Item                 | Instruction                                      |  |
|----------------------|--|--|
| Request for proposal | Attach/Append your response to the scope in      |  |
| response             | the Terms of Reference. Annexure 1.              |  |
|                      | Demonstrate how you will meet the                |  |
|                      | implementation/ requirements in the scope of     |  |
|                      | Terms of Reference                               |  |
| Outline Plan (if     | Attach/Append the implementation plan/           |  |
| applicable)          | schedule. Please provide the intent starting     |  |
|                      | and the completion dates of the project.         |  |
| Consultant(s) Resume | Attach/Append the resume (CV)for key             |  |
|                      | consultant(s) for the engagement.                |  |
|                      | Please use the suggested format FORM             |  |
|                      | NHE.F-4  |  |
| List of previous     | List of similar assignment for the last 5 years. |  |
| engagement           | Use Form NHE.F-5                                 |  |
| Comments to the TOR  | Any comments or suggestions of the               |  |
|                      | Consultant on the Terms of Reference (TOR).      |  |
| Comments on services | The Consultant's comments, if any, on the        |  |
| and facilities       | data, services and facilities to be provided by  |  |
|                      | the Public body indicated in the Terms of        |  |
|                      | Reference (TOR).                                 |  |

This offer is valid for \_\_\_\_\_ days.

## Technical proposal authorized by:

| Name:            |                  |       | Sign | ature: |  |
|------------------|------------------|-------|------|--------|--|
| Position:        |                  |       | Date | :      |  |
| Authorised for a | nd on behalf of: | Compa | any: |        |  |
|                  |                  |       |      |        |  |

#### **FORM NHE F-4**

#### FORMAT OF CURRICULUM VITAE (CV) FOR CONSULTANT

| Name of Consultant:                |
|------------------------------------|
| Profession:                        |
| Date of Birth:                     |
| Nationality:                       |
| Membership in Professional bodies: |

#### **Key Qualifications:**

[Give an outline of experience and training most pertinent to tasks on assignment. Describe degree of responsibility held on relevant previous assignments and give dates and locations. Use about half a page.]

#### **Education:**

[Summarize college/university and other specialized education, giving names of institutions, dates attended, and degrees obtained. Use about one quarter of a page.]

#### **Employment Record:**

[Starting with present position, list in reverse order every employment held. List all positions held since graduation, giving dates, names of employing organizations, titles of positions held, and locations of assignments. For experience in last ten years, also give types of activities performed and employers' references, where appropriate. Use about two pages.]

#### Languages:

[For each language indicate proficiency: excellent, good, fair, or poor; in speaking, reading, and writing]

#### **Certification:**

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe me, my qualifications, and experience.

#### Date: Day/Month/Year

[Signature of Consultant]

Full name of Consultant: \_\_\_\_\_

# FORM NHE F-5

# ASSIGNMENTS OF SIMILAR NATURE SUCCESSFULLY COMPLETED DURING LAST 5 YEARS

1. Outline of recent experience on assignments of similar nature:

| SI.No | Name of<br>assignment | Name<br>of<br>Project | Owner or<br>Sponsoring<br>agency | Cost of<br>Project | Date of<br>Commencement | Date of<br>Completion | Was<br>assignment<br>satisfactorily<br>completed |
|-------|-----------------------|-----------------------|----------------------------------|--------------------|-------------------------|-----------------------|--|
|       |                       |                       |                                  |                    |                         |                       |  |
|       |                       |                       |                                  |                    |                         |                       |  |
|       |                       |                       |                                  |                    |                         |                       |  |
|       |                       |                       |                                  |                    |                         |                       |  |
|       |                       |                       |                                  |                    |                         |                       |  |
|       |                       |                       |                                  |                    |                         |                       |  |
|       |                       |                       |                                  |                    |                         |                       |  |

Note: Please attach certificates from the employer by way of documentary proof. (Issued by the Officer of rank not below that of Divisional Manager or equivalent.)

#### **FORM NHE.F-6**

#### **FINANCIAL PROPOSAL**

# Cost Estimate of Services<sup>1</sup>

| <b>Remuneration:</b>        |                        |                        |           |                     |                |
|-----------------------------|------------------------|------------------------|-----------|---------------------|----------------|
| Consultant Name<br>Cost     | e Mont                 | hly Rate               | Woi       | king Months         | Total          |
|                             | (in cur                | rency)                 |           |                     | (in currency)  |
|                             |                        |                        | Sub-Total |                     | (Remuneration) |
| Out-of-Pocket Ex            | (penses <sup>2</sup> : |                        |           |                     |                |
| (a) Per Diem <sup>3</sup> : | Room<br>charge         | Subsistence            | Total     | Days                |                |
| (b) Air fare:               |                        |                        |           |                     |                |
| (c) Lump Sum Miso           | cellaneous Ex          | kpenses <sup>4</sup> : |           |                     |                |
|                             |                        |                        | Sub-Total | (Out-of-Pocket<br>- | )              |
|                             |                        |                        | Cont      | tingency Charg      | es:            |
|                             |                        |                        | Tota      | al                  | Estimate:      |
|                             |                        |                        |           |                     |                |

<sup>&</sup>lt;sup>1</sup> Rates shall be used for extension of contract for Lump-sum basis and for Time-based contract at negotiation stage or as otherwise specified

<sup>&</sup>lt;sup>2</sup> Reimbursable at cost with supporting documents/receipts unless otherwise specified.

<sup>&</sup>lt;sup>3</sup> Per Diem is fixed per calendar day and need not be supported by receipts.

<sup>&</sup>lt;sup>4</sup> To include reporting costs, visa, inoculations, routine medical examination, minor surface transportation and communications expenses, porterage fees, in-and out expenses, airport taxes, and such other travel related expenses as may be necessary.

#### **FORM NHE.F-7**

#### SELF-DECLARATION

#### Title: PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR NHE- CS/RP/NHE-02/23/24

I/We the undersigned declare that:

- 1) I / we are not blacklisted by the Government of Namibia in accordance with applicable laws at the date of the deadline for bid submission.
- 2) I/ we are not blacklisted by African Development Bank, Asian Development Bank, European Bank for Reconstruction and Development, Inter-American Development Bank Group and World Bank Group.
- 3) I/ we will submit a statement on past and present declaration of ineligibility, if any, by any local/international agency or any termination of contract for unsuccessful completion of assignment, giving adequate details to enable a fair assessment.
- 4) I/ we will inform the contracting authority, without delay, of any situation constituting a conflict of interest or could give rise to a conflict of interest.
- 5) I/ we will not seek, attempt to obtain, or accept any advantage, financial or in kind, to or from any party whatsoever, constituting an illegal or corrupt practice, either directly or indirectly, as an incentive or reward relating to the award of the contract.

| Declared<br>20 | at                            | thisda | y of _ |              |
|----------------|-------------------------------|--------|--------|--------------|
| Signature      | (of duly authorised officer): |        |        |              |
| Full           | Name                          | and    |        | Designation: |
|                |                               |        |        |              |
|                |                               |        |        |              |

PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR NHE- CS/RP/NHE-02/23/24

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## AN UNDERTAKING ON THE PART OF THE CONSULTANT FORM



# Ministry of Labour, Industrial Relations and Employment Creation

Witten undertaking in terms of section 138 of the Labour Act, 2007 and section 50(2)(D) of the Public Procurement Act, 2015

## **1. EMPLOYERS DETAILS**

| Company Trade Name:                    |
|--|
| Registration Number :                  |
| Vat Number:                            |
| Industry/Sector:                       |
| Place of Business:                     |
| Physical Address:                      |
| Tell No.:                              |
| Fax No.:                               |
| Email Address:                         |
| Postal Address:                        |
| Full name of Owner/Accounting Officer: |
|  |
| Email Address:                         |

# 2. PROCUREMENT DETAILS

Procurement Reference No.:....

Procurement Description: .....

.....

.....

Anticipated Contract Duration: .....

Location where work will be done, good/services will be delivered: .....

.....

## 3. UNDERTAKING

I .....[insert full name], Owner/representative

Of .....[insert full name of company]

hereby undertake in writing that my company will at all relevant times comply fully with the relevant provisions of the Labour Act and the Terms and Conditions of Collective Agreements as applicable.

I am fully aware that failure to abide to such shall lead to the action as stipulated in section 138 of the labour Act, 2007, which include but not limited to the cancellation of the contract/licence/grant/permit or concession.

Signature: .....

Date: .....

Seal:....

Please take note:

1. A labour inspector may conduct unannounced inspections to assess the level of compliance

2. This undertaking must be displayed at the workplace where it will be readily accessible and visible by the employees rendering service(s) in relations to the goods and services being procured under this contract.

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## **DECLARATION IN RESPECT OF EXCLUSIVE PREFERENCE**

#### Paragraph 9(3) and Annexure 6 of the Code of Good Practice on Preferences Referred to in Section 71 and 72 of Public Procurement Act, 2015

Consultant must tick the appropriate box to indicate the category under which it has made a declaration.

#### 1. Manufacturer

| - | CATEGORIES OF<br>CONSULTANTS | <b>DOCUMENTARY EVIDENCE</b><br>(to be submitted with the bid / all the documents required must be<br>submitted) | ТІСК |  |
|---|------------------------------|---|------|--|
| 1 | Manufacturer                 | - Cost structure for Value Added Calculation in Annexure 1, and as  | Yes  |  |
|   |                              | certified by an Accountant<br>(please refer to code of good practice for above stated annexures)                | No   |  |

If yes, please complete the part below, including the cost structure form. The form maybe reproduced, but it should be in the same format or reflect the whole information on it.

#### Manufacture's Declaration in Terms of Paragraph 9(3) and Annexure 6 of the Code of Good Practice

I/We hereby declare that the manufactured goods meet the local content as determined in Annexure 1 of Code of good practice, as per the cost structure for Value Added Calculation.

The Local Value-Added amounts to: N\$\_\_\_\_\_

**NB:** The cost structure reflecting the above amount must be attached to the bid for reference. (See last attachment)

#### 2. Micro, Small and Medium Enterprises

| CATEGORIES OF<br>CONSULTANTS |                            | DOCUMENTARY EVIDENCE<br>(to be submitted with the bid / all the documents required must be<br>submitted) |     | ТІСК |
|------------------------------|----------------------------|--|-----|------|
| 2                            | Micro, Small and<br>Medium | - certified copy of SME registration certificate   | Yes |      |
|                              | Enterprise                 |  | No  |      |

If yes, percentage indicating Namibian MSME ownership

#### 3. Women Owned Enterprise

| - | TEGORIES OF<br>NSULTANTS  | <b>DOCUMENTARY EVIDENCE</b><br>(to be submitted with the bid / all the documents required must be submitted)                                 | 1   | тіск |  |
|---|---------------------------|--|-----|------|--|
| 3 | Women owned<br>enterprise | <ul> <li>Certified copy of identity documents (IDs) of all stakeholders</li> <li>Certified copy of:<br/>founding statement and/or</li> </ul> | Yes |      |  |
|   |                           | company registration indicating ownership structure and/or copy of shareholder certificate   | No  |      |  |

If yes, percentage equity owned by Namibian women

#### 4. Youth Owned Enterprise

|   | GORIES OF<br>NSULTANTS    | <b>DOCUMENTARY EVIDENCE</b><br>(to be submitted with the bid / all the documents required must be submitted)   |     | іск |
|---|---------------------------|--|-----|-----|
| 4 | Youth owned<br>enterprise | <ul> <li>Certified copy of identity documents (IDs) of all stakeholders</li> <li>Certified copy of founding statement/company registration indicating</li> </ul> | Yes |     |
|   | encerprise                | ownership structure/ copy of shareholder certificate   | No  |     |

If yes, percentage equity owned by Namibian youths

#### 5. Previously Disadvantaged Person owned Enterprise

|   | TEGORIES OF<br>NSULTANTS    | <b>DOCUMENTARY EVIDENCE</b><br>(to be submitted with the bid / all the documents required must be submitted)   |     | тіск |  |
|---|-----------------------------|--|-----|------|--|
| 5 | Previously<br>Disadvantaged | <ul> <li>Certified copy of identity documents (IDs) of all stakeholders</li> <li>Certified copy of founding statement/company registration indicating</li> </ul> | Yes |      |  |
|   | Person owned<br>enterprise  | owned ownership structure/ copy of shareholder certificate   |     |      |  |

| If yes, percentage equity owned by previously disadvantaged Namibians |  |
|---|--|
|   |  |

#### 6. Suppliers Providing Environmental Protection

| _ | TEGORIES OF<br>NSULTANTS    | <b>DOCUMENTARY EVIDENCE</b><br>(to be submitted with the bid / all the documents required must be submitted)                  | ТІСК |  |
|---|-----------------------------|---|------|--|
| 6 | Suppliers<br>providing      | - Evidence that the Consultant promotes the protection of the environment, maintain ecosystems and sustainable use of natural | Yes  |  |
|   | environmental<br>protection | resources   | No   |  |

If yes, Consultant must provide evidence or elaborate on any initiatives to protect the environment, maintain ecosystems and the sustainable use of natural resources.

#### 7. Suppliers Providing Employment to Namibians

| - | TEGORIES<br>NSULTANTS                             | OF | <b>DOCUMENTARY EVIDENCE</b><br>(to be submitted with the bid / all the documents required must be submitted)  | тіск      |  |
|---|---|----|---|-----------|--|
| 7 | Suppliers<br>providing<br>employment<br>Namibians | to | <ul> <li>Declaration that the Consultant employs 50% or more Namibian citizens</li> <li>Certified copies of Namibian citizens employed by the Consultant</li> </ul> | Yes<br>No |  |

If yes, kindly provide an organogram indicating the total number of employees. In addition, provide a list of all Namibians with proof of identifications.

# ANNEXURE 1 COST STRUCTURE FOR VALUE ADDED CALCULATION

(Paragraph 1)

|   | Product 1 | Product 2 |
|---|-----------|-----------|
|   | N\$       | N\$       |
| Raw Materials, Accessories and Components                       |           |           |
| Imported (CIF)  |           |           |
| <ul> <li>Local (Value Added Tax and Excise Duty Fee)</li> </ul> |           |           |
| <ul> <li>Local (Cost, Insurance, Freight)</li> </ul>            |           |           |
| Labour Cost   |           |           |
| Direct Labour   |           |           |
| Clerical Wages  |           |           |
| Salaries to Management  |           |           |
| Utilities   |           |           |
| Electricity   |           |           |
| • Water   |           |           |
| Telephone   |           |           |
| Depreciation  |           |           |
| Interest on Loans   |           |           |
| Rent  |           |           |
| Other (please specify)  |           |           |
|   |           |           |
| TOTAL COST  |           |           |
| COST OF IMPORTED INPUTS   |           |           |
| LOCAL VALUE ADDED   |           |           |
| % LOCAL VALUE ADDED   |           |           |

#### Local Value Added = <u>Total Cost – Cost of imported inputs</u> x 100 Total Cost NB! The cost structure must be certified by an Accountant.

This form maybe reproduced, but it should be in the same format or reflect the whole information on it.

I/We\* hereby declare that the information provided above outlines the preferences that I/We\* qualifies for, and that the information provided is correct

| Signed:  |          |
|----------|----------|
| Name:    |          |
| Dated on | day of,, |

PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR NHE- CS/RP/NHE-02/23/24

#### **BID EVALUATION CRITERIA**

# TITLE: PROVISION OF CONSULTANCY SERVICES FOR THE DEVELOPMENT AND IMPLEMENTATION OF THE CHANGE MANAGEMENT AND ORGANIZATIONAL CULTURE TRANSFORMATION PROGRAMME FOR NHE- CS/RP/NHE-02/23/24

#### 1. Evaluation Method

The Least Cost and Acceptable Quality Selection Method (as defined in the Public Procurement Act section 27(b) (iv) will be applied for this Request for Proposal.

#### 2. Evaluation Process

The proposal will be evaluated according to the method stated in the Letter of Invitation.

#### 2.1. Stage 1: Evaluation of Mandatory Requirements

The table below will be used for evaluation of the first stage. Consultants shall comply with all the criteria listed in the table below for further evaluation. All certifications are to be done by a **Commissioner of Oath**.

# NB: Copies of certified copies will not be accepted. Please ensure all relevant documentation is original certified copies.

| 2.1.1 Mandatory Requirements   |          |         |   |
|--|----------|---------|---|
| Criteria   | Yes/Pass | No/Fail | Supporting Document   |
| Certified copy of valid<br>registration certificate or<br>relevant registration<br>documentation as outlined in this<br>document<br>An original or certified copy of a                               |          |         | Certified copy of document/s<br>stated in paragraph 5(e))<br>Certificate of good standing |
| valid certificate of good standing<br>with the Receiver of Revenue.  |          |         |   |
| A valid certificate of good<br>standing with the Social Security<br>Commission or,<br>in the case where a company has<br>no employees, confirmation<br>letter from the Social Security<br>Commission |          |         | Certificate of good standing or confirmation letter                                       |
| <ul> <li>Affirmative action compliance:</li> <li>Certified copy of certificate,<br/>or</li> <li>Certified copy exemption, or</li> <li>Certified copy of proof.</li> </ul>                            |          |         | Affirmative action compliance<br>certificate/exemption/proof as<br>stated                 |
| Completed Undertaking in terms of section 138 of the Labour Act  |          |         | Completed form in bidding document  |

| Completed Bid Submission Form   | Form in bidding document |
|---|--------------------------|
| Completed Bid Securing<br>Declaration Form  | Form in bidding document |
| Completed Self Declaration Form<br>in respect of eligibility criteria             | Form in bidding document |
| Completed Declaration in respect<br>of Exclusive Preference (where<br>applicable) | Form in bidding document |

Consultants who fully comply with Stage 1: Evaluation of Mandatory Requirements, will be further evaluated technically in Stage 2.

#### 2.2. Stage 2: Evaluation of Technical Proposals (80 %)

Consultants are required to obtain a minimum score of 80% to be considered technically compliant. The technical proposal will be assessed on the following criteria:

| Technical Evaluation Criteria (Stage 2) = 100 %  |  |  |                          |                |  |
|--|--|--|--------------------------|----------------|--|
| No   | Item Description   | Criteria   | Sub-Scores               | Total<br>Score |  |
| 1  | 1 TRACK RECORD   |  |                          |                |  |
|  | References must include list of specific experience in the project area and indicate proven<br>ability in developing detailed designs and implementing similar projects by the firm and the<br>individuals to be involved in the project.            |  |                          |                |  |
|  | A company profile with detailed information on cor last 5 years should be provided.  | ntracts of similar na                              | ature carried out in the |                |  |
|  | The minimum requirements for the firm:<br>Extensive and proven experience in in<br>culture change and Change Management<br>implementation in complex organisations,<br>during the last ten (10) years.   | 3 reference<br>letters +<br>supporting<br>evidence | 30%                      |                |  |
| A company profile and de<br>successfully undertaking<br>nature (evidence shou<br>successfully completed pr | A company profile and documentary evidence in<br>successfully undertaking projects of a similar<br>nature (evidence should relate to similar<br>successfully completed projects, with a reference<br>of the success of the project from the client). | 2 reference<br>letters +<br>supporting<br>evidence | 20%                      |                |  |
|  | (attached list of previous and current relevant<br>clientele)<br>Attach proof of three (3), or more recent (not<br>older than 10 years) contactable references.  | 1 reference<br>letter +<br>supporting<br>evidence  | 10%                      |                |  |
|  | Reference verification will be done.   | evidence   |                          |                |  |
|  | Reference letters should include the name of the entity, nature (scope) of contract, contact person and office telephone number and email address.   | None   | 0%                       |                |  |
|  | The onus is on the Consultant to provide the correct contact details. Non- responsiveness of   |  |                          |                |  |

|   |   |   | 1            |        |     |
|---|---|---|--------------|--------|-----|
|   | ref   | e referees can affect the scoring. False<br>rerencing can lead to immediate disqualification<br>d will have consequences. |              |        |     |
| 2 |   | WORK PLAN / METHODOLOGY   |              |        | 40% |
|   |   | equacy and quality of the proposed methodology, and work the Terms of Reference (TORs)                                    | plan in resp | onding |     |
|   | The number of points to be assigned for this criterion shall be determined considering the following and the weight allocated to each criterion below will contribute to the overall score of 40%   |   |              |        |     |
|   | *A  | ll criteria from a) to e), below totals 100%  |              |        |     |
|   | <ul> <li>a) The methodology is clear and complete: all key deliverables, resources mobilised, list of activities, risks and assumptions are included:<br/>Weight 30%</li> </ul>   |   |              |        |     |
|   | <ul> <li>b) The methodology is relevant: it brings an added value to the TORs and contains innovations:</li> <li>The work plan is detailed, realistic and in line with the TORs and proposed methodology:</li> <li>Weight 30%</li> </ul>  |   |              |        |     |
|   | c) The proposal conforms to the timelines indicated in the invitation:<br>Weight 15%  |   |              |        |     |
|   | <ul> <li>d) Work plan includes a list of the proposed personnel and the tasks that would be<br/>assigned to each personnel: (NB, kindly attach certified copies of proofs of citizenship,<br/>relevant qualifications, and professional registrations of each personnel listed. CVs<br/>should also be submitted)<br/>Weight 15%</li> </ul> |   |              |        |     |
|   | e) Understanding of the assignment based on the appropriateness of the work plan:<br>Weight 10%   |   |              |        |     |
|   |   | ork Plan / Methodology meets the following as per the set   | 70-100%      | 30%    |     |
|   | Cri   | teria above:  | 50-69%       | 15%    |     |
|   |   |   | 0-49%        | 0%     |     |
| 3 |   | SERVICE-IN-HOUSE CAPABILITIES   |              |        | 30% |
|   | Key Consultants' qualifications and competence for the Services of similar nature   |   |              |        |     |
|   | Position: Lead Consultant   |   |              |        |     |
|   | The number of points to be assigned for this criterion shall be determined considering following:   |   |              |        |     |
|   | a)  | Brief curriculum vitae of Lead consultant who will be assigned to the assignment.   | 15%          | )      |     |
|   |   |   |              |        |     |

| Extensive and proven experience in conducting in culture change<br>and Change Management implementation in complex<br>organisations; and at least 10 years   |  |  |  |  |  |
|--|--|--|--|--|--|
|  |  |  |  |  |  |
| Team Leader to poses Postgraduate degree in post graduate<br>qualification with specialization in Human Resource<br>Management, Strategic Management, Change Management and<br>any other related field. (please attach certified copies as proof)                        |  |  |  |  |  |
| Consultant must fulfil all requirements to obtain full score. If one of the requirements are not met, no score will be allocated.  |  |  |  |  |  |
| a) Brief curriculum vitae of Key Staff who will be assigned to the 15% assignment.   |  |  |  |  |  |
| <ul> <li>b) CVs of key staff with a minimum of 5 years' experience in in<br/>Human Resource Management, Strategic Management, Change<br/>Management and any other related field.</li> </ul>  |  |  |  |  |  |
| c) Certified copies of relevant qualifications.  |  |  |  |  |  |
| <ul> <li>d) The key staff with a minimum of 5 years of experience required<br/>will be:</li> </ul>   |  |  |  |  |  |
| Qualifications and experience of team members and company experience:  |  |  |  |  |  |
| <ol> <li>At least a post graduate qualification with specialization in<br/>Human Resource Management, Strategic Management,<br/>Change Management and any other related field.</li> </ol>  |  |  |  |  |  |
| <ol> <li>A company profile and documentary evidence in successfully<br/>undertaking projects of a similar nature (evidence should<br/>relate to similar successfully completed projects, with a<br/>reference of the success of the project from the client).</li> </ol> |  |  |  |  |  |
| <ol> <li>At least ten (10) years of professional experience in culture<br/>change and Change Management implementation in complex<br/>organisations.</li> </ol>  |  |  |  |  |  |
| <ol> <li>Specific professional training in change management will be<br/>an added advantage.</li> </ol>  |  |  |  |  |  |
| <ol><li>The consultancy is required to demonstrate the composition<br/>of the team leader and the role to be played by any other<br/>supportive team members.</li></ol>  |  |  |  |  |  |
| <ol> <li>Three reference letters (not older than three (3) years) from<br/>companies where culture change and change management<br/>programs were implemented.</li> </ol>  |  |  |  |  |  |
| <ol><li>Curriculum Vitae and qualification of the persons attached to the project.</li></ol>   |  |  |  |  |  |
| <ol> <li>Proven experience in organizational change management<br/>and business process reviews across the private and/or<br/>public sectors.</li> </ol>   |  |  |  |  |  |
| <ol> <li>Experience in working with, or supporting the development<br/>of new and innovative approaches, particularly in all areas<br/>relating to change and culture change management; and</li> </ol>  |  |  |  |  |  |
| 10. Ability to translate strategic thinking and innovative ideas<br>into practical operational recommendations.  |  |  |  |  |  |
| Behavioural Competencies:  |  |  |  |  |  |
| 1. Ability to be flexible and respond to changes as part of the  |  |  |  |  |  |
| review and feedback process.<br>2. Strong interpersonal skills, able to communicate and work   |  |  |  |  |  |
| with diverse people.   |  |  |  |  |  |
| <ol> <li>Participate effectively in team-based, information-sharing<br/>environment, collaborating and cooperating with others.</li> <li>Encurs on impact and results for the client, and</li> </ol>   |  |  |  |  |  |
| <ol> <li>Focus on impact and results for the client; and</li> <li>Solid analytical, presentation, interpersonal, communication,<br/>and negotiation skills.</li> </ol>   |  |  |  |  |  |

|       | Consultant must at least provide details of 3 key staff to obtain a full score. If one of the requirements are not met, no score will be allocated.<br>Key staff will be evaluated separately from the lead consultant/team leader |  |      |
|-------|--|--|------|
| TOTAL |  |  | 100% |

Financial proposals of consultants who did not pass the technical evaluation will not be opened and it will be kept by NHE for record purposes.

#### 2.3. STAGE 3: Evaluation of Financial Proposal

Consultants may submit more detailed quotations in addition to **FORM NHE.F-6** of this document

Financial proposals are to include the names, title, hourly rates, overhead factors, and any other details by which the overall and project element costs have been derived. Evaluation of rates will be based on cassette rates as per governing professional body states.

The financial proposal is to relate in detail to each item of the proposed work plan, including the respondent suggested project elements and respondent-suggested contingencies, if any. (this refers to any other items not stated in the bidding document, but deemed necessary or that will be required to meet the objectives of the assignment)

Please note that NHE is not obligated to award the contract to the Consultant who submitted the lowest financial proposal.

The procurement contract will be awarded to the Consultant having submitted the lowest evaluated substantially responsive bid which meets the qualification criteria specified in the bidding document and as per the approved cassette rates.

#### 2.4. Margin of Preference

In terms of the Code of Good Practice on Preferences, margin of preference means a percentage of price preference given to a Consultant who meets the specified criteria as determined.

This code of good practice aims to promote, facilitate, and strengthen measures to implement the empowerment and industrialization policies of the Government by providing a framework for the application of preferences and reservations under the Act without compromising standards of goods, works and services and value for the money, grant exclusive preference to categories of local suppliers through reservations of certain procurement of goods and, works and services.

The maximum cumulative allowable margins of preferences applicable to exclusive preference for price evaluation purposes is 10%.

A Consultant must complete and sign the declaration in respect of exclusive preference included in this document above, outlining the preferences the Consultant qualifies for and the grounds for such qualifications.

Margins of preferences will be applied, as per Annexure 6 of the Code of Good Practice, as follows on the total cost in the price schedule:

#### MARGIN OF PREFERENCES WHEN EVALUATING BIDS FOR EXCLUSIVE PREFERENCE

| CATEGORIES OF<br>CONSULTANTS          | <b>DEFINITION / CRITERIA</b><br>(in terms of code of good practice on preferences)  | MARGIN OF<br>PREFERENCE | <b>DOCUMENTARY EVIDENCE</b><br>(to be submitted with the bid / all the documents required must be submitted)  |
|---------------------------------------|---|-------------------------|---|
| Manufacturer                          | <ul> <li>means a person or company that is involved<br/>in the physical or chemical transformation of<br/>materials or components into new products<br/>whether or not –</li> <li>a) the transformation is through work-</li> <li>i) performed by a power-driven machine<br/>or by hand,</li> <li>ii) done in a home or factory, or</li> <li>b) the new products are sold on wholesale or<br/>retail basis</li> </ul> | 2%                      | <ul> <li>certificate of registration from a registering authority</li> <li>declaration by the Consultant that the manufactured goods<br/>meet the local content as determined in Annexure 6 as per<br/>the cost structure for Value Added Calculation in Annexure<br/>1, and as certified by an Accountant</li> <li>(please refer to code of good practice for above stated<br/>annexures)</li> </ul> |
| Micro, Small and<br>Medium Enterprise | means an enterprise that has a valid micro,<br>small and medium enterprise certificate<br>issued by the Ministry responsible for trade,<br>whose minimum equity is 51% owned by<br>Namibians  | 1%                      | <ul> <li>certified copy of SME registration certificate</li> <li>declaration indicating the percentage of Namibian MSME ownership<br/>(kindly use structure of form)</li> </ul>   |
| Women owned<br>enterprise             | a Consultant who is a woman or whose<br>minimum equity is 51% owned by Namibian<br>women  | 1%                      | <ul> <li>certified copy of identity documents (IDs) of all stakeholders</li> <li>certified copy of:<br/>founding statement and/or<br/>company registration indicating ownership structure and/or<br/>copy of shareholder certificates</li> <li>declaration indicating the percentage of Namibian female<br/>ownership</li> </ul>  |

| Suppliers providing<br>employment to   | a Consultant who employs 50% or more<br>Namibian citizens  | 1%   | - declaration that the Consultant employs 50% or more<br>Namibian citizens  |  |
|--|--|------|---|--|
| Suppliers providing<br>environmental<br>protection   | a Consultant that promotes the protection of<br>the environment, maintain ecosystems and<br>sustainable use of natural resources as<br>specified by the public entity in the bidding   | 1%   | - declaration by the Consultant that the latter deals with environmental protection   |  |
| persons within Namibia who have been socially, economically, or educationally disadvantaged by past discriminatory laws or practices as contemplated in Article 23(2) of the Namibian Constitution |  |      |   |  |
|  | a Consultant who is a PDP or whose minimum<br>equity is 51% owned by Namibian PDPs   |      | <ul> <li>declaration indicating the percentage of Namibian PDP ownership</li> </ul>   |  |
| Previously<br>Disadvantaged<br>Person owned<br>enterprise  | <ul> <li>means persons contemplated in Article 23(2)</li> <li>of the Namibian Constitution and includes –</li> <li>a) women; and</li> <li>b) persons with any disability as defined in<br/>the National Disability Act, 2004 (Act No.</li> </ul> | 2 70 | <ul> <li>certified copy of founding statement/company registration<br/>indicating ownership structure/ copy of shareholder<br/>certificates</li> </ul>        |  |
| Draviously   | a Consultant who is a youth or whose<br>minimum equity is 51% owned by Namibian<br>youths  | 2%   | <ul> <li>declaration indicating the percentage of Namibian youth ownership</li> <li>certified copy of identity documents (IDs) of all shareholders</li> </ul> |  |
| enterprise   | to 35 years old as defined in section 1 of the<br>National Youth Council Act, 2009 (Act No.3 of<br>2009)   |      | <ul> <li>certified copy of founding statement/company registration<br/>indicating ownership structure/ copy of shareholder<br/>certificate</li> </ul>         |  |
| Youth owned  | "youth" means a young person aged from 16  | 2%   | - certified copy of identity documents (IDs) of all shareholders  |  |

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#### **Annexure 4**

CONTRACT No.\_\_\_\_\_

#### CONSULTANCY SERVICE CONTRACT

#### BETWEEN

THE National Housing Enterprise

#### AND

..... [CONSULTANT NAME]

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#### WITNESS THAT:

**WHEREAS** the NHE has determined the need to procure the services described, implied or referred to in this Contract, subject to the terms and conditions hereinafter set forth;

**WHEREAS** the Consultant represents and affirms that he/she possesses the requisite experience, qualifications, capability and skill to perform the said services;

**NOW THEREFORE** the parties hereto have agreed as follows:

## ARTICLE I SCOPE OF SERVICES

1.1 The services to be performed by the Consultant under this Contract (hereinafter called the Services) are those described in the Terms of Reference attached hereto as Annex I to the present Contract. The Terms of Reference shall form an integral part of this Contract.

### ARTICLE II COMMENCEMENT OF SERVICES AND DURATION OF CONTRACT

- 2.2 The Services shall be for .....*[insert no of days/months/years]*, beginning on the date of commencement of the Services, and ending not later than *[insert completion date]*.

### ARTICLE III DUTIES OF THE CONSULTANT

- 3.1 The Consultant shall perform the services with all due care, diligence and efficiency, in accordance with the highest standards of professional competence, organization and responsibility, and in a manner acceptable to the Public Entity
- 3.2 The Consultant shall:
  - (a) regularly report to, and obtain direction and guidance from the Public Entity on all matters arising from or relating to the present Contract;
  - (b) promptly comply with such instructions as may be issued from time to time by the Public Entity in connection with the performance of the services.
- 3.3 The Consultant shall perform the services to the satisfaction of the Public Entity in accordance with the Terms of Reference and at such intervals as the Public Entity may require.
- 3.4 The Consultant shall keep and maintain accurate and complete accounts in respect of expenditure incurred under the present Contract in such form and detail as shall be satisfactory to the Public Entity for the purposes of making payment or settlement under the Contract, where applicable.
- 3.5 The Consultant shall meet the cost of any insurance and/or medical examination or treatment required by him/her in the course of performing the services.
- 3.6 The Consultant shall seek and obtain any visas or residence permits that he/she may require to carry out the services and perform his/her obligations under the present Contract. The Public Entity shall, as necessary, assist the Consultant in obtaining such visas and/or permits.

### ARTICLE IV PAYMENT FOR THE SERVICES

- 4.1 The Public Entity shall pay to the Consultant, in respect of the services, the various amounts specified in Annex II to this Contract (hereinafter referred to as the "Contract Amount").
- **4.2** The Contract Amounts shall be paid to the Consultant in accordance with the modalities specified in Annex II to the present Contract, which forms an integral part hereof.

# ARTICLE V CONFIDENTIALITY AND OWNERSHIP OF DOCUMENTS

- 5.1 All documents, statistics, reports, data and other information provided, created, obtained or made available to the Consultant in connection with or by virtue of the present Contract, shall be treated as confidential by the Consultant, and the Consultant shall not be entitled to use or make copies of them for any purpose that is not related to the present Contract.
- 5.2 The documents, statistics, reports and data under the preceding paragraph shall, upon the completion of Services or termination of this Contract, be promptly returned to the Public Entity.
- 5.3 Any study, report or other material, graphic, software or otherwise, prepared by the Consultant for Public Entity under the Contract shall belong to and remain the property of the Public Entity. The Consultant may retain a copy of such documents and software.

### ARTICLE VI ASSIGNMENT AND SUB-CONTRACTING

- 6.1 Except with the prior written consent of the Public Entity, the Consultant shall not:
  - (a) in whole or in part, assign, transfer or otherwise dispose of, his/her rights or obligations under the present Contract;

(b) sub-contract, or otherwise transfer responsibility for, the whole or any part of the Services.

## ARTICLE VII LIABILITY OF THE CONSULTANT

- 7.1.1 The Consultant shall abide by and take all measures necessary to enable him/her to comply with all laws and regulations in force in any place where the Services are to be wholly or partially performed.
- 7.2 The Consultant shall be fully liable for the consequences of any error or omission on his/her part or for any damage caused by negligence on his/her part in carrying out the Services or performing his/her obligations under the present Contract.

### ARTICLE VIII FORCE MAJEURE

- 8.1 Neither party to the present Contract shall be responsible for any delay or failure to perform the obligations under the Contract if the delay or failure is attributable to force majeure.
- 8.2 In the event of force majeure which delays performance of the whole or any part of the present Contract for more than sixty (60) days, either party shall have the right, by notice in writing to the other party, to terminate the Contract.
- 8.3 For purposes of this Article, an event of force majeure shall mean an unforeseen and unavoidable event beyond the reasonable control and contemplation of the party invoking the existence of such event, and which impacts directly on the discharge of the obligation under the Contract.

#### ARTICLE IX TERMINATION OF CONTRACT

- 9.1 The Public Entity may, upon giving not less than *[insert no. of days]* days' notice in writing to the Consultant, terminate the present Contract for cause if the Consultant has failed to perform the Services or to comply with his/her other obligations under the Contract.
- 9.2 The Public Entity may, at its option, terminate this Contract when it is in the interest of or for the convenience of the Public Entity *t*o do so, provided that the Consultant shall in that event be given a notice of not less than *[insert no. of days]* days of such termination.
- 9.3 The Consultant may terminate the present Contract if the Public Entity has, within a period of forty-five (45) days after the due date, failed to pay any amount due to him/her in respect of which no dispute has arisen.
- 9.4 The parties hereto may by mutual agreement terminate this Contract.
- 9.5 If the present Contract is terminated under this Article, the Public Entity shall be liable only for payment, in accordance with the payment provisions of the Contract, for the Services actually rendered prior to the effective date of termination, together with such other amounts incidental to the termination as may be reasonable in the circumstances.

# ARTICLE X DISPUTE SETTLEMENT

- 10.1 Any dispute arising out of or in connection with the present Contract shall, unless it is amicably settled, be decided upon by the Accounting Officer of the Public Entity who shall transmit his decision in writing to both parties.
- 10.2 Any dispute between the Parties as to matters arising pursuant to this Contract which cannot be settled amicably within sixty (60) days after receipt by one Party of the other Party's request for such amicable settlement may be submitted by either Party for arbitration under the applicable law.

## ARTICLE XI MODIFICATION OR AMENDMENT

- 11.1 Except by mutual agreement in writing between the parties, no change, modification or amendment shall be made to the present Contract.
- 11.2 Notwithstanding the preceding paragraph, the Public Entity may at any time order or require changes in the scope of the Services. If such changes add to or reduce the cost of the Services, the Contract Amount shall be adjusted accordingly.

### ARTICLE XII EFFECTIVE DATE

- 12.1 The present Contract shall enter into force on the date of its signature by both parties.
- 12.2 Unless terminated under Article VIII or IX above, the present Contract shall expire upon completion of the Services and the discharge of all obligations arising out of or under the Contract.

### ARTICLE XIII CHANNEL OF COMMUNICATIONS AND NOTICE

- 13.1 For the purposes of the present Contract, the authorized representative of the Public Entity shall be the Accounting Officer of the Public Entity.
- 13.2 Any communication, notification, submission, notice, demand or request under the present Contract shall be deemed to have been duly transmitted if it shall have been delivered by hand, mail, or facsimile by either party to the other at the appropriate address indicated below, or at such other address as that other party may have indicated:

| FOR NHE          |   |  |
|------------------|---|--|
| Postal Address   | : |  |
| Physical Address | : |  |
| Facsimile        | : |  |

#### FOR THE CONSULTANT

| Postal Address   | : |  |
|------------------|---|--|
| Physical Address | : |  |
| Facsimile        | : |  |

## ARTICLE XIV GOVERNING LAW

14.1 This Contract shall be governed by, and construed in all respects in accordance with, the Laws of the Republic of Namibia.

**IN WITNESS WHEREOF** the parties hereto have caused the Contract to be signed in their respective names in two original counterparts in English on the date first above written.

SIGNED IN DUPLICATE AT ...... DAY OF

WITNESSES

For the National Housing

MR. GISBERTUS MUKULU CHIEF EXECUTIVE OFFICER

Enterprise

NAME AND SIGNATURE OF WITNESSES:

1.\_\_\_\_\_ Name, Position and signature

2.

Name, Position and signature

SIGNED IN DUPLICATE AT ...... DAY

UNDERSIGNED WITNESSES

#### **CONSULTANT REPRESENTATIVE**

NAME AND SIGNATURE OF WITNESSES:

1.\_\_\_\_\_

Name, Position and signature

2.\_\_\_\_\_

Name, Position and signature